

10/05/01  
JC904 U.S. PTO

10-09-01

Customer No. 000959  
Case Docket No. PPI-106CP

A

COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

11036 U.S. PTO  
09/972772  
10/05/01

"Express Mail" Mailing Label Number EL 833 312 895 US

Date of Deposit October 5, 2001

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Signature

Viriato G. Cardoso

Please Print Name of Person Signing

Dear Sir:

Transmitted herewith for filing is a continuation-in-part application of pending prior application U.S. Serial No. 09/704,251, filed November 1, 2000 of:

**Inventors:** Gary L. Olson, Christopher Self, Lily Lee, Charles Michael Cook and Jens Birktopf

**For:** "Therapeutic Agents and Methods of Use Thereof for the Modulation of Angiogenesis"

Enclosed are:

- ☒ 48 pages of Specification, 13 pages of Claims and 1 page of Abstract;
- ☒ 2 pages of Drawings (Figures 1 and 2);
- ☒ Transmittal Letter for Diskette Containing Sequence Listing;
- ☒ 11 pages of Sequence Listing (Paper Copy);
- ☒ Diskette Containing Computer Readable Copy of the Sequence Listing;
- ☒ 7 pages of *unexecuted* Declaration, Petition and Power of Attorney;
- ☒ Statement of Limited Recognition Under 37 C.F.R. §10.9(b) for Maria C. Laccotripe;
- ☒ 4 pages of Application Data Sheet;
- ☒ Pre-paid acknowledgment postcard.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE	////////////////////	
TOTAL CLAIMS	48 - 20	= 28
INDEP. CLAIMS	4 - 3	= 1
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference in Col. 2 is less than zero,  
enter "0" in Col. 2.

SMALL ENTITY	
RATE	FEE
////////	\$
x 9=	\$
x 42	\$
+140	\$
TOTAL	

OTHER THAN SMALL ENTITY	
RATE	FEE
////////	\$ 740
x 18=	\$ 504
x 84	\$ 84
+280	\$
TOTAL	\$1,328.00

☒ **FILING FEES ARE NOT BEING PAID AT THIS TIME.**

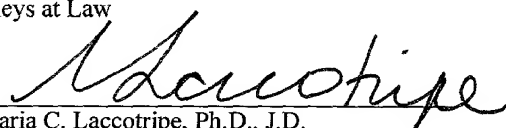
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. \_\_\_\_\_.
- ☐ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. \_\_\_\_\_.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.
- ☐ The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).
- ☐ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.
- ☒ Address all future communications (May only be completed by applicant, or attorney or agent of record) to **Giulio A. DeConti, Jr., Esq.** at Customer No. **000959** whose address is:

**Lahive & Cockfield, LLP**  
**28 State Street**  
**Boston, Massachusetts 02109**

Date: **October 5, 2001**

LAHIVE & COCKFIELD, LLP  
Attorneys at Law

By: \_\_\_\_\_

  
Maria C. Laccotripe, Ph.D., J.D.  
Limited Recognition Under 37 C.F.R. §10.9(b)  
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095959 227-7400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re the application of:** Gary L. Olson *et al.*

**Serial No.:** Not Yet Assigned

**Filed:** Herewith

**For:** *"Therapeutic Agents and Methods of Use  
Thereof for the Modulation of Angiogenesis"*

**Attorney Docket No.:** PPI-106CP

**Group Art Unit:** Not Yet Assigned

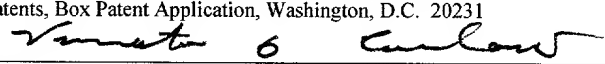
**Examiner:** Not Yet Assigned

**Commissioner for Patents  
Box Sequence  
Washington, D.C. 20231**

**TRANSMITTAL LETTER FOR DISKETTE CONTAINING SEQUENCE LISTING**

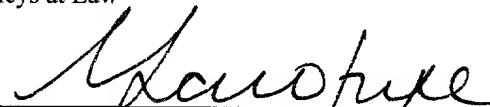
Dear Sir:

Enclosed is a diskette which contains a computer readable form of the Sequence Listing for the patent application filed herewith. The Sequence Listing complies with the requirements of 37 C.F.R. § 1.821. The material on this diskette is identical in substance to the sequence listing appearing on pages 1- 11 of the Sequence Listing which is submitted herewith, as required by 37 C.F.R. § 1.821(f). The computer readable form of the sequence listing contained on the enclosed diskette is understood to comply with the requirements of § 1.824(d).

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Signature Viriato G. Cardoso  
Please Print Name of Person Signing

LAHIVE & COCKFIELD, LLP

Attorneys at Law

By:   
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Date: **October 5, 2001**